

## UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))

ttorney Docket No.	3802-088-27 CONT

First Inventor or Application Identifier

DANIEL R. HENDERSON

TISSUE SPECIFIC ADENOVIRAL VECTORS Title

	See	APPLICATION ELEMENTS MPEP chapter 600 concerning utility patent application contents	Assistant Commissioner for Patents  ADDRESS TO: Box Patent Application PO Box 1450 Alexandria, VA 22313-1450								
1.	0	Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	ACCOMPANYING DOCUMENTS								
		Applicant claims small entity status.	6.								
2.		Specification Total Pages 127	7.		37 C.F.R. §3.73(b) Statement ☐ Power of Attorney (when there is an assignee)						
			8.		English Translation Document (if applicable)						
3.		Drawing(s) (35 U.S.C. 113) Total Sheets 44	9.		Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations						
			10.		Preliminary Amendment						
4.		Oath or Declaration Total Pages	11.		White Advance Serial No. Postcard						
		. □ Newly executed (original or copy)  Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 16 completed)	12.	_	Certified Copy of Priority Document(s) (if foreign priority is claimed)						
	J	(for continuation/divisional with box 16 completed)	13.	п	Request for Priority						
5.		Incorporation By Reference (usable if box 48 is checked)		_							
0.	_	Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	14. 15.	0	List of Inventors' Names and Addresses Other:						
16.	If a	CONTINUING APPLICATION, check appropriate box, an	d sup	ply	the requisite information below:						
		Continuation   Divisional   Continuation-	in-pa	rt (C	CIP) of prior application no.: 09/732,169						
• 1	Prior	application information: Examiner: Brian A. Whitema	n		Group Art Unit: 1635						
17.7	Ame	nd the specification by inserting before the first line the se	enten	ce:							
		application is a ■ Continuation □ Division			tinuation-in-part (CIP)						
	of ap	plication Serial No. 09/732,169, filed December 6, 2000, shed June 6, 2002, which is a Continuation of U.S. Pater	now	U.S	i. Patent Application Publication No. 2002/0068049 A1,						
	U.S.	Patent No. 6, 2002, William and Continuation of C.S. 1 acts Patent No. 6, 2003, issued January 13, 2004, which is	a Co	ntin	d Echanom 16, 1000, which is a Continuation In Bort						
	of U.	Patent No. 6,676,935, issued January 13, 2004, which is 69,753, filed June 26, 1996, now U.S. Patent No. 5,871,7 S. Patent Application No. 08/495,034, filed June 27, 1995	zo, is 5, nov	v U.	S. Patent No. 5,698,443, issued December 16, 1997.						
<u> </u>	This	application claims priority of provisional application Seria	l No.		Filed						
	18. CORRESPONDENCE ADDRESS										
		Supervisor, Patent Pr	osec	utic	n Services						
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Name	Steven B. Kelber		Registration No.	30,073	
Signature	Ste a	Date	4/13/04	Telephone 202-861-3900	
Name	Sue Jensen, M.D.		Registration No.	55,775	



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											FEE CALCULATION (continued)							
Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No. 50-1442.										3. ADDITIONAL FEES								
□ Charge the indicated fees to Deposit Account No. 50-1442.											Large Entity S			Entity				
2. □ Check enclosed.										T	Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid		
FEE CALCULATION										1	1051	130	2051	65	Surcharge-late filing fee or oath	\$65		
1. BASIC FILING FEE										1	1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet			
Large	Entity	Sma	II Enti	ity	Fe	e Descrip	tion					1053	130	1053	130	Non-English Specification		
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1002	340	2002		170	Design filing fee							1253	950	2253	475	3-mo. ext. of time		
1003	520	2003		260	Plant filing fee							1254	1480	2254	740	4-mo. ext. of time		
1004	770	2004		385	Re	issue filin	g fee					1255	2010	2255	1005	5-mo. ext. of time	-	
1005	160	2005		80	Pro	ovisional f	iling	fee				1401	330	2401	165	Notice of Appeal		
						SUB	тот	ΓAL (1)		\$385.00	o	1402	330	2402	165	Appeal Brief		
Ź. E	XTRA	CLAIM F	EES	\$								1403	290	2403	145	Request for Oral Hearing		
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Large	Entity	Small	l Enti	ty	Fee	Descripti	on					8001	3	8001	3	Advance Copy of Patent		
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1202	18	2202		9	Cla	ims in exc	ess	of 20				1806	180	1806	180	IDS Submission		
1201	86	2201		43	Inde	ependent	claim	s in excess	of 3			8021	40	8021	40	Assignment recordation		
1203	290	2203		145	Multiple dependent claim, if not paid							1801	770	2801	385	For Filing RCE		
1204	84	2204 43 *Reissue independent claims over original patent										1814	110	2814	55	Terminal Disclaimer		
1205 18 2205 9 *Reissue claims in excess of 20 and over original patent										OTHER (i	ndicate belo	w):						
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* or num	ber prev	iously paid, i	f grea	ater; For I	Reiss	ues, see a	bove				$\perp$					SUBTOTAL (3)	\$65.00	
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Name	Steven B. Kelber	l	R	egistra	tion No.	30,073	
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Name	me Sue Jensen, M.D.				tion No.	55,775	

DOCKET NO. 3802-088-27 CONT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Daniel R. HENDERSON, et al. ART UNIT:

SERIAL NO.: New Application EXAMINER:

FILING DATE: Herewith

FOR: TISSUE SPECIFIC ADENOVIRAL VECTORS

## **LIST OF INVENTORS' NAMES AND ADDRESSES**

ASSISTANT COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA, VA 22313-1450

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

- 1) Daniel R. Henderson Palo Alto, California
- 2) Eric R. Schuur Palo Alto, California

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER RUDNICK LLP

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Attorney of Record

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